

# Lecture Note: KBM 603

## Superconducting Qubits I

### From Quantum Harmonic Oscillator to the Transmon

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# 1 Review: Quantum Harmonic Oscillator (QHO)

## Assumptions

We treat a single degree of freedom oscillator with Hamiltonian  $H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2$ . Quantization is done by promoting  $(x, p) \rightarrow (\hat{x}, \hat{p})$  with canonical commutation  $[\hat{x}, \hat{p}] = i\hbar$ .

## 1.1 Canonical quantization and goal

Classical Hamiltonian:

$$H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2. \quad (1)$$

Quantize:

$$x \rightarrow \hat{x}, \quad p \rightarrow \hat{p}, \quad [\hat{x}, \hat{p}] = i\hbar. \quad (2)$$

## Logic note

Our goal is to rewrite  $\hat{H}$  in a form where eigenstates are obvious. For quadratic Hamiltonians, ladder operators achieve this.

## 1.2 Define ladder operators and verify algebra (all steps)

Define:

$$\hat{a} \equiv \sqrt{\frac{m\omega}{2\hbar}} \hat{x} + \frac{i}{\sqrt{2\hbar m\omega}} \hat{p}, \quad (3)$$

$$\hat{a}^\dagger \equiv \sqrt{\frac{m\omega}{2\hbar}} \hat{x} - \frac{i}{\sqrt{2\hbar m\omega}} \hat{p}. \quad (4)$$

**Step 1: compute  $[\hat{a}, \hat{a}^\dagger]$ .** Let  $\alpha = \sqrt{\frac{m\omega}{2\hbar}}$ ,  $\beta = \frac{1}{\sqrt{2\hbar m\omega}}$ , so  $\hat{a} = \alpha\hat{x} + i\beta\hat{p}$  and  $\hat{a}^\dagger = \alpha\hat{x} - i\beta\hat{p}$ . Then

$$\begin{aligned} [\hat{a}, \hat{a}^\dagger] &= [\alpha\hat{x} + i\beta\hat{p}, \alpha\hat{x} - i\beta\hat{p}] \\ &= \alpha^2[\hat{x}, \hat{x}] - i\alpha\beta[\hat{x}, \hat{p}] + i\alpha\beta[\hat{p}, \hat{x}] + (i\beta)(-i\beta)[\hat{p}, \hat{p}] \\ &= 0 - i\alpha\beta(i\hbar) + i\alpha\beta(-i\hbar) + 0 \\ &= \alpha\beta\hbar + \alpha\beta\hbar \\ &= 2\alpha\beta\hbar \\ &= 2 \left( \sqrt{\frac{m\omega}{2\hbar}} \right) \left( \frac{1}{\sqrt{2\hbar m\omega}} \right) \hbar \\ &= 2 \left( \frac{1}{2\hbar} \right) \hbar \\ &= 1. \end{aligned} \quad (5)$$

$$\boxed{[\hat{a}, \hat{a}^\dagger] = 1.} \quad (6)$$

### 1.3 Invert to get $\hat{x}$ and $\hat{p}$ (explicit)

Add Eqs. (3) and (4):

$$\hat{a} + \hat{a}^\dagger = 2\sqrt{\frac{m\omega}{2\hbar}} \hat{x} \quad \Rightarrow \quad \hat{x} = \sqrt{\frac{\hbar}{2m\omega}}(\hat{a} + \hat{a}^\dagger). \quad (7)$$

Subtract:

$$\hat{a} - \hat{a}^\dagger = 2\frac{i}{\sqrt{2\hbar m\omega}} \hat{p} \quad \Rightarrow \quad \hat{p} = \frac{\sqrt{2\hbar m\omega}}{2i}(\hat{a} - \hat{a}^\dagger) = i\sqrt{\frac{\hbar m\omega}{2}}(\hat{a}^\dagger - \hat{a}). \quad (8)$$

Define zero-point fluctuation scales:

$$x_{\text{zpf}} \equiv \sqrt{\frac{\hbar}{2m\omega}}, \quad p_{\text{zpf}} \equiv \sqrt{\frac{\hbar m\omega}{2}}. \quad (9)$$

Then

$$\boxed{\hat{x} = x_{\text{zpf}}(\hat{a} + \hat{a}^\dagger), \quad \hat{p} = i p_{\text{zpf}}(\hat{a}^\dagger - \hat{a}).} \quad (10)$$

#### Logic note

These forms will be mirrored exactly in the LC resonator after substituting  $(x, p) \leftrightarrow (\Phi, Q)$ .

### 1.4 Derive $\hat{H} = \hbar\omega(\hat{a}^\dagger\hat{a} + \frac{1}{2})$ step-by-step

Start with

$$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2\hat{x}^2. \quad (11)$$

Substitute  $\hat{x}$  and  $\hat{p}$  in terms of  $\hat{a}, \hat{a}^\dagger$ .

**Kinetic term.**

$$\begin{aligned} \frac{\hat{p}^2}{2m} &= \frac{1}{2m} (ip_{\text{zpf}}(\hat{a}^\dagger - \hat{a}))^2 \\ &= \frac{1}{2m} (-p_{\text{zpf}}^2(\hat{a}^\dagger - \hat{a})^2) \\ &= -\frac{p_{\text{zpf}}^2}{2m} (\hat{a}^\dagger - \hat{a})^2 \\ &= -\frac{1}{2m} \left(\frac{\hbar m\omega}{2}\right) (\hat{a}^\dagger - \hat{a})^2 \\ &= -\frac{\hbar\omega}{4} (\hat{a}^\dagger - \hat{a})^2. \end{aligned} \quad (12)$$

**Potential term.**

$$\begin{aligned}
\frac{1}{2}m\omega^2\hat{x}^2 &= \frac{1}{2}m\omega^2 (x_{\text{zpf}}(\hat{a} + \hat{a}^\dagger))^2 \\
&= \frac{1}{2}m\omega^2 x_{\text{zpf}}^2 (\hat{a} + \hat{a}^\dagger)^2 \\
&= \frac{1}{2}m\omega^2 \left( \frac{\hbar}{2m\omega} \right) (\hat{a} + \hat{a}^\dagger)^2 \\
&= \frac{\hbar\omega}{4} (\hat{a} + \hat{a}^\dagger)^2.
\end{aligned} \tag{13}$$

Add them:

$$\hat{H} = \frac{\hbar\omega}{4} [(\hat{a} + \hat{a}^\dagger)^2 - (\hat{a}^\dagger - \hat{a})^2]. \tag{14}$$

Expand both squares:

$$(\hat{a} + \hat{a}^\dagger)^2 = \hat{a}^2 + \hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a} + (\hat{a}^\dagger)^2, \tag{15}$$

$$(\hat{a}^\dagger - \hat{a})^2 = (\hat{a}^\dagger)^2 - \hat{a}^\dagger\hat{a} - \hat{a}\hat{a}^\dagger + \hat{a}^2. \tag{16}$$

Subtract:

$$\begin{aligned}
(\hat{a} + \hat{a}^\dagger)^2 - (\hat{a}^\dagger - \hat{a})^2 &= [\hat{a}^2 + \hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a} + (\hat{a}^\dagger)^2] - [(\hat{a}^\dagger)^2 - \hat{a}^\dagger\hat{a} - \hat{a}\hat{a}^\dagger + \hat{a}^2] \\
&= 2(\hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a}).
\end{aligned} \tag{17}$$

Plug into Eq. (14):

$$\hat{H} = \frac{\hbar\omega}{4} \cdot 2(\hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a}) = \frac{\hbar\omega}{2} (\hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a}). \tag{18}$$

Now use  $\hat{a}\hat{a}^\dagger = \hat{a}^\dagger\hat{a} + [\hat{a}, \hat{a}^\dagger] = \hat{a}^\dagger\hat{a} + 1$ :

$$\hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a} = (\hat{a}^\dagger\hat{a} + 1) + \hat{a}^\dagger\hat{a} = 2\hat{a}^\dagger\hat{a} + 1. \tag{19}$$

Therefore from Eq. (18):

$$\boxed{\hat{H} = \hbar\omega \left( \hat{a}^\dagger\hat{a} + \frac{1}{2} \right)}. \tag{20}$$

Define number operator:

$$\boxed{\hat{n} \equiv \hat{a}^\dagger\hat{a}, \quad \hat{n} |n\rangle = n |n\rangle}. \tag{21}$$

Energy spectrum:

$$\boxed{E_n = \hbar\omega \left( n + \frac{1}{2} \right)}. \tag{22}$$

### Key points to remember

- QHO is any quadratic Hamiltonian in a conjugate pair.
- Ladder operators package the algebra and reveal the spectrum.
- Zero-point fluctuations:  $x_{\text{zpf}} = \sqrt{\hbar/(2m\omega)}$ .

## 2 Quantum LC Resonator (Harmonic Oscillator in Circuit Variables)

### Assumptions

- **Lumped-element limit:** circuit dimensions  $\ll \lambda$  so voltages/currents are spatially uniform per element.
- Lossless elements for Hamiltonian derivation (dissipation can be added later phenomenologically).
- Single-mode, single degree of freedom LC.

### 2.1 Classical energy and choice of canonical variables

Stored energies:

$$H_{LC} = \frac{Q^2}{2C} + \frac{\Phi^2}{2L}. \quad (23)$$

Define charge and flux:

$$Q(t) = \int^t I(t') dt', \quad \Phi(t) = \int^t V(t') dt'. \quad (24)$$

### Logic note

In circuits,  $\Phi$  (time-integral of voltage) plays the role of coordinate, and  $Q$  (time-integral of current) plays the role of momentum.

Identify resonance frequency and impedance:

$$\omega_r = \frac{1}{\sqrt{LC}}, \quad Z_r = \sqrt{\frac{L}{C}}. \quad (25)$$

### 2.2 Quantization: $[\hat{\Phi}, \hat{Q}] = i\hbar$ and ladder operators

Promote:  $\Phi \rightarrow \hat{\Phi}$ ,  $Q \rightarrow \hat{Q}$ , impose canonical commutation:

$$[\hat{\Phi}, \hat{Q}] = i\hbar. \quad (26)$$

We now choose ladder-operator parametrization exactly mirroring the QHO:

$$\hat{\Phi} = \Phi_{\text{zpf}}(\hat{a} + \hat{a}^\dagger), \quad \hat{Q} = i Q_{\text{zpf}}(\hat{a}^\dagger - \hat{a}). \quad (27)$$

**Step 1: determine  $\Phi_{\text{zpf}}$  and  $Q_{\text{zpf}}$  from the commutator.** Compute:

$$\begin{aligned}
[\hat{\Phi}, \hat{Q}] &= [\Phi_{\text{zpf}}(\hat{a} + \hat{a}^\dagger), iQ_{\text{zpf}}(\hat{a}^\dagger - \hat{a})] \\
&= i\Phi_{\text{zpf}}Q_{\text{zpf}} [(\hat{a} + \hat{a}^\dagger), (\hat{a}^\dagger - \hat{a})] \\
&= i\Phi_{\text{zpf}}Q_{\text{zpf}} \left( [\hat{a}, \hat{a}^\dagger] - [\hat{a}, \hat{a}] + [\hat{a}^\dagger, \hat{a}^\dagger] - [\hat{a}^\dagger, \hat{a}] \right) \\
&= i\Phi_{\text{zpf}}Q_{\text{zpf}} (1 - 0 - 0 - (-1)) \\
&= i\Phi_{\text{zpf}}Q_{\text{zpf}} \cdot 2 \\
&= 2i\Phi_{\text{zpf}}Q_{\text{zpf}}.
\end{aligned} \tag{28}$$

To satisfy Eq. (26), we require:

$$2\Phi_{\text{zpf}}Q_{\text{zpf}} = \hbar \quad \Rightarrow \quad \boxed{\Phi_{\text{zpf}}Q_{\text{zpf}} = \frac{\hbar}{2}}. \tag{29}$$

**Step 2: match Hamiltonian coefficients to fix each zpf separately.** Insert Eq. (27) into the LC Hamiltonian (23):

$$\begin{aligned}
\hat{H}_{LC} &= \frac{\hat{Q}^2}{2C} + \frac{\hat{\Phi}^2}{2L} \\
&= \frac{1}{2C} (iQ_{\text{zpf}}(\hat{a}^\dagger - \hat{a}))^2 + \frac{1}{2L} (\Phi_{\text{zpf}}(\hat{a} + \hat{a}^\dagger))^2 \\
&= -\frac{Q_{\text{zpf}}^2}{2C} (\hat{a}^\dagger - \hat{a})^2 + \frac{\Phi_{\text{zpf}}^2}{2L} (\hat{a} + \hat{a}^\dagger)^2.
\end{aligned} \tag{30}$$

We want  $\hat{H}_{LC}$  to reduce to  $\hbar\omega_r(\hat{a}^\dagger\hat{a} + \frac{1}{2})$ . As in the QHO derivation, this happens if the prefactors are equal:

$$\frac{Q_{\text{zpf}}^2}{C} = \frac{\Phi_{\text{zpf}}^2}{L}. \tag{31}$$

Solve Eq. (31):

$$\frac{Q_{\text{zpf}}}{\Phi_{\text{zpf}}} = \sqrt{\frac{C}{L}} = \frac{1}{Z_r}. \tag{32}$$

Combine Eq. (29) and (32):

$$Q_{\text{zpf}} = \frac{\hbar}{2\Phi_{\text{zpf}}}, \tag{33}$$

$$\frac{\hbar}{2\Phi_{\text{zpf}}^2} = \frac{1}{Z_r} \quad \Rightarrow \quad \Phi_{\text{zpf}}^2 = \frac{\hbar Z_r}{2}. \tag{34}$$

Thus

$$\boxed{\Phi_{\text{zpf}} = \sqrt{\frac{\hbar Z_r}{2}}, \quad Q_{\text{zpf}} = \sqrt{\frac{\hbar}{2Z_r}}}. \tag{35}$$

**Step 3: final Hamiltonian form (show the same algebra as QHO).** With matched prefactors, Eq. (30) becomes

$$\hat{H}_{LC} = \frac{\hbar\omega_r}{4} [(\hat{a} + \hat{a}^\dagger)^2 - (\hat{a}^\dagger - \hat{a})^2], \tag{36}$$

and repeating the QHO difference-of-squares algebra yields:

$$\hat{H}_{LC} = \hbar\omega_r \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right). \quad (37)$$

#### Key points to remember

- LC resonator is exactly a quantum harmonic oscillator with  $(\Phi, Q)$  as the conjugate pair.
- Vacuum fluctuations:  $\Phi_{zpf} = \sqrt{\hbar Z_r/2}$  and  $Q_{zpf} = \sqrt{\hbar/(2Z_r)}$ .
- Equal level spacing  $\Rightarrow$  LC alone is *not* a qubit.

### 3 Why We Need Nonlinearity

For a harmonic oscillator,

$$E_n = \hbar\omega \left( n + \frac{1}{2} \right) \quad \Rightarrow \quad \omega_{01} = \omega_{12} = \omega_{23} = \dots = \omega. \quad (38)$$

If we drive at  $\omega_{01}$ , nothing prevents excitation of higher transitions (leakage).

#### Logic note

A qubit needs a *spectrally isolated* transition. That means **anharmonicity**:  $\omega_{12} \neq \omega_{01}$ .

## 4 Josephson Junction as a Nonlinear Inductor (Derive the Cosine Energy)

### 4.1 Current-phase and flux-phase relations

Josephson current relation:

$$I(t) = I_c \sin \varphi(t). \quad (39)$$

Flux quantum:

$$\Phi_0 = \frac{h}{2e}. \quad (40)$$

Phase-flux relation across the junction:

$$\varphi(t) = \frac{2\pi}{\Phi_0} \Phi(t). \quad (41)$$

### 4.2 Energy stored in the junction from power (all steps)

Power delivered to the element:

$$P(t) = V(t)I(t). \quad (42)$$

Energy (work) stored:

$$E = \int P(t) dt = \int V(t)I(t) dt. \quad (43)$$

Using flux definition  $V(t) = \dot{\Phi}(t)$ :

$$E = \int \dot{\Phi}(t) I(t) dt. \quad (44)$$

Substitute  $I(t) = I_c \sin \varphi(t)$  and  $\varphi = (2\pi/\Phi_0)\Phi$ :

$$E = I_c \int \dot{\Phi}(t) \sin\left(\frac{2\pi}{\Phi_0}\Phi(t)\right) dt. \quad (45)$$

Now perform substitution:

$$u(t) \equiv \frac{2\pi}{\Phi_0}\Phi(t) \quad \Rightarrow \quad \dot{u}(t) = \frac{2\pi}{\Phi_0}\dot{\Phi}(t) \quad \Rightarrow \quad \dot{\Phi}(t) = \frac{\Phi_0}{2\pi}\dot{u}(t).$$

Then Eq. (45) becomes

$$\begin{aligned} E &= I_c \int \frac{\Phi_0}{2\pi} \dot{u}(t) \sin(u(t)) dt \\ &= \frac{I_c \Phi_0}{2\pi} \int \sin(u) du \\ &= -\frac{I_c \Phi_0}{2\pi} \cos u + \text{constant}. \end{aligned} \quad (46)$$

Define the Josephson energy

$$\boxed{E_J \equiv \frac{I_c \Phi_0}{2\pi}}, \quad (47)$$

and use  $u = \varphi$  to write the stored energy (potential energy):

$$\boxed{U_J(\varphi) = -E_J \cos \varphi} \quad (\text{up to an additive constant}). \quad (48)$$

### Key points to remember

- Linear inductor:  $U_L = \Phi^2/(2L)$  (quadratic)  $\Rightarrow$  harmonic spectrum.
- Josephson junction:  $U_J(\varphi) = -E_J \cos \varphi$  (cosine)  $\Rightarrow$  anharmonic spectrum.

## 5 Transmon Qubit: Replace $L$ with Josephson Junction + Large Shunt Capacitance

### Assumptions

- Single junction shunted by a capacitance  $C_\Sigma$  (includes junction capacitance + explicit shunt).
- We ignore dissipation in the Hamiltonian model.
- Offset charge  $n_g$  is included to discuss charge-noise sensitivity.

## 5.1 Classical Hamiltonian in $(\Phi, Q)$ (explicit)

Total energy = capacitor energy + Josephson energy:

$$H = \frac{(Q - Q_g)^2}{2C_\Sigma} - E_J \cos\left(\frac{2\pi}{\Phi_0}\Phi\right). \quad (49)$$

Here  $Q_g$  is the gate-induced (offset) charge.

## 5.2 Quantize and change variables to $(\varphi, n)$

Promote to operators and impose:

$$[\hat{\Phi}, \hat{Q}] = i\hbar. \quad (50)$$

Define dimensionless phase operator and Cooper-pair number operator:

$$\boxed{\hat{\varphi} \equiv \frac{2\pi}{\Phi_0}\hat{\Phi}, \quad \hat{n} \equiv \frac{\hat{Q}}{2e}.} \quad (51)$$

Also define dimensionless offset charge:

$$\boxed{n_g \equiv \frac{Q_g}{2e}.} \quad (52)$$

**Step: derive the commutator**  $[\hat{\varphi}, \hat{n}] = i$ . Using Eq. (51):

$$\begin{aligned} [\hat{\varphi}, \hat{n}] &= \left[ \frac{2\pi}{\Phi_0}\hat{\Phi}, \frac{1}{2e}\hat{Q} \right] = \frac{2\pi}{\Phi_0} \frac{1}{2e} [\hat{\Phi}, \hat{Q}] \\ &= \frac{2\pi}{\Phi_0} \frac{1}{2e} (i\hbar) = i\hbar \frac{2\pi}{(h/2e)} \frac{1}{2e} \\ &= i\hbar \frac{2\pi}{h} \cdot 2e \cdot \frac{1}{2e} = i\hbar \frac{2\pi}{h} = i\hbar \frac{1}{\hbar} = i. \end{aligned} \quad (53)$$

So

$$\boxed{[\hat{\varphi}, \hat{n}] = i.} \quad (54)$$

## 5.3 Identify charging energy and write the standard transmon Hamiltonian

Define charging energy:

$$\boxed{E_C \equiv \frac{e^2}{2C_\Sigma}.} \quad (55)$$

Rewrite the capacitive term:

$$\frac{(\hat{Q} - Q_g)^2}{2C_\Sigma} = \frac{(2e(\hat{n} - n_g))^2}{2C_\Sigma} = \frac{4e^2}{2C_\Sigma} (\hat{n} - n_g)^2 = 4E_C (\hat{n} - n_g)^2. \quad (56)$$

Also rewrite the cosine using  $\hat{\varphi} = (2\pi/\Phi_0)\hat{\Phi}$ . Therefore the quantum Hamiltonian is

$$\boxed{\hat{H}_T = 4E_C (\hat{n} - n_g)^2 - E_J \cos \hat{\varphi}.} \quad (57)$$

### Logic note

This is the key result: **kinetic energy** is the charging term (in  $n$ ), and **potential energy** is the Josephson cosine (in  $\varphi$ ). The transmon is a “quantum particle” moving in a cosine potential.

## 6 Why $E_J/E_C$ Matters: Noise Sensitivity vs Anharmonicity

### 6.1 Qualitative regimes

- **Charge regime** ( $E_J/E_C \ll 1$ ): charging dominates, eigenstates are close to charge states  $|n\rangle$ , strong dependence on  $n_g \Rightarrow$  charge noise sensitive.
- **Transmon regime** ( $E_J/E_C \gg 1$ ): cosine dominates, phase localizes near a minimum, dependence on  $n_g$  becomes exponentially small (charge dispersion suppressed), while anharmonicity decreases slowly.

### Key points to remember

- Increasing  $E_J/E_C$  strongly suppresses charge-noise sensitivity (good coherence).
- Too large  $E_J/E_C$  reduces anharmonicity (harder spectral selectivity), but only mildly compared to noise suppression.

## 7 Approximate Transmon Spectrum (Detailed Steps)

### Assumptions

We work in the transmon regime  $E_J/E_C \gg 1$  where low-energy states are localized in a single cosine well and phase fluctuations are small:  $\Delta\varphi \ll 1$ . We set  $n_g \simeq 0$  when focusing on local well physics (good approximation in transmon regime due to weak charge dispersion).

### 7.1 Taylor expand the cosine and separate harmonic + perturbation

Start from Eq. (57) (with  $n_g \approx 0$ ):

$$\hat{H} \approx 4E_C \hat{n}^2 - E_J \cos \hat{\varphi}. \quad (58)$$

Expand around a minimum at  $\varphi = 0$ :

$$\cos \varphi = 1 - \frac{\varphi^2}{2!} + \frac{\varphi^4}{4!} - \dots \quad (59)$$

So

$$\begin{aligned} -E_J \cos \varphi &= -E_J \left( 1 - \frac{\varphi^2}{2} + \frac{\varphi^4}{24} - \dots \right) \\ &= -E_J + \frac{E_J}{2} \varphi^2 - \frac{E_J}{24} \varphi^4 + \dots \end{aligned} \quad (60)$$

Hence

$$\hat{H} \approx \underbrace{4E_C \hat{n}^2 + \frac{E_J}{2} \hat{\varphi}^2}_{\text{harmonic part } \hat{H}_0} + \underbrace{-\frac{E_J}{24} \hat{\varphi}^4}_{\text{anharmonic perturbation } \hat{V}} + \underbrace{-E_J}_{\text{constant shift}}. \quad (61)$$

We can drop the constant  $-E_J$  since it shifts all energies equally.

### Logic note

At this stage the transmon looks like a harmonic oscillator in  $(\varphi, n)$  plus a small quartic correction. That quartic term is what makes it a qubit.

## 7.2 Quantize the harmonic part by defining $b, b^\dagger$

We want to map  $\hat{H}_0 = 4E_C \hat{n}^2 + \frac{E_J}{2} \hat{\varphi}^2$  to  $\hbar \omega_p \left( \hat{b}^\dagger \hat{b} + \frac{1}{2} \right)$  for some plasma frequency  $\omega_p$ .

Introduce ladder operators  $\hat{b}, \hat{b}^\dagger$  via

$$\boxed{\hat{\varphi} = \varphi_{\text{zpf}} (\hat{b} + \hat{b}^\dagger), \quad \hat{n} = n_{\text{zpf}} (\hat{b}^\dagger - \hat{b})} \quad (62)$$

with  $[\hat{b}, \hat{b}^\dagger] = 1$ .

**Step 1: enforce  $[\hat{\varphi}, \hat{n}] = i$  to relate  $\varphi_{\text{zpf}}$  and  $n_{\text{zpf}}$ .** Compute:

$$\begin{aligned} [\hat{\varphi}, \hat{n}] &= [\varphi_{\text{zpf}} (\hat{b} + \hat{b}^\dagger), n_{\text{zpf}} (\hat{b}^\dagger - \hat{b})] \\ &= i \varphi_{\text{zpf}} n_{\text{zpf}} [(\hat{b} + \hat{b}^\dagger), (\hat{b}^\dagger - \hat{b})] \\ &= i \varphi_{\text{zpf}} n_{\text{zpf}} \cdot 2 \\ &= 2i \varphi_{\text{zpf}} n_{\text{zpf}}. \end{aligned} \quad (63)$$

Thus

$$\boxed{\varphi_{\text{zpf}} n_{\text{zpf}} = \frac{1}{2}}. \quad (64)$$

**Step 2: match coefficients to make  $\hat{H}_0$  exactly harmonic.** Compute  $\hat{n}^2$  and  $\hat{\varphi}^2$  contributions:

$$4E_C \hat{n}^2 = 4E_C \left( n_{\text{zpf}} (\hat{b}^\dagger - \hat{b}) \right)^2 = -4E_C n_{\text{zpf}}^2 (\hat{b}^\dagger - \hat{b})^2, \quad (65)$$

$$\frac{E_J}{2} \hat{\varphi}^2 = \frac{E_J}{2} \left( \varphi_{\text{zpf}} (\hat{b} + \hat{b}^\dagger) \right)^2 = \frac{E_J}{2} \varphi_{\text{zpf}}^2 (\hat{b} + \hat{b}^\dagger)^2. \quad (66)$$

As in QHO, we want equal prefactors (so the difference-of-squares collapses to number operator):

$$4E_C n_{\text{zpf}}^2 = \frac{E_J}{2} \varphi_{\text{zpf}}^2. \quad (67)$$

Use Eq. (64) ( $n_{\text{zpf}} = 1/(2\varphi_{\text{zpf}})$ ) in Eq. (67):

$$\begin{aligned}
4E_C \left( \frac{1}{2\varphi_{\text{zpf}}} \right)^2 &= \frac{E_J}{2} \varphi_{\text{zpf}}^2 \\
4E_C \frac{1}{4\varphi_{\text{zpf}}^2} &= \frac{E_J}{2} \varphi_{\text{zpf}}^2 \\
\frac{E_C}{\varphi_{\text{zpf}}^2} &= \frac{E_J}{2} \varphi_{\text{zpf}}^2 \\
E_C &= \frac{E_J}{2} \varphi_{\text{zpf}}^4 \\
\varphi_{\text{zpf}}^4 &= \frac{2E_C}{E_J}.
\end{aligned} \tag{68}$$

Therefore

$$\boxed{\varphi_{\text{zpf}} = \left( \frac{2E_C}{E_J} \right)^{1/4}, \quad n_{\text{zpf}} = \frac{1}{2} \left( \frac{E_J}{2E_C} \right)^{1/4}}. \tag{69}$$

**Step 3: obtain the plasma frequency  $\omega_p$ .** With matched prefactors, the same algebra as QHO yields

$$\hat{H}_0 = \hbar\omega_p \left( \hat{b}^\dagger \hat{b} + \frac{1}{2} \right), \tag{70}$$

where the frequency comes from the product of the quadratic coefficients:

$$\boxed{\hbar\omega_p = \sqrt{8E_C E_J}}. \tag{71}$$

### 7.3 Include the quartic term and extract anharmonicity (explicit)

From Eq. (61), the perturbation is

$$\hat{V} = -\frac{E_J}{24} \hat{\varphi}^4. \tag{72}$$

Using  $\hat{\varphi} = \varphi_{\text{zpf}}(\hat{b} + \hat{b}^\dagger)$ :

$$\hat{V} = -\frac{E_J}{24} \varphi_{\text{zpf}}^4 (\hat{b} + \hat{b}^\dagger)^4. \tag{73}$$

But  $\varphi_{\text{zpf}}^4 = 2E_C/E_J$  from Eq. (69), so

$$\boxed{\hat{V} = -\frac{E_C}{12} (\hat{b} + \hat{b}^\dagger)^4}. \tag{74}$$

**Rotating-wave (number-conserving) approximation: keep only terms that conserve excitation number.** Expand  $(\hat{b} + \hat{b}^\dagger)^4$  and retain only number-conserving contributions. The key surviving operator is  $\hat{b}^{\dagger 2} \hat{b}^2$ , plus terms that shift the frequency.

A standard result (from normal ordering / RWA) gives the effective Kerr form:

$$\boxed{\hat{H} \approx \hbar\omega_p \left( \hat{b}^\dagger \hat{b} + \frac{1}{2} \right) - \frac{E_C}{2} \hat{b}^{\dagger 2} \hat{b}^2 - \frac{E_C}{2} \hat{b}^\dagger \hat{b} + \text{const.}} \tag{75}$$

Grouping the linear-in-number term into the frequency yields the transmon transition frequency

$$\boxed{\hbar\omega_{01} \approx \sqrt{8E_C E_J} - E_C.} \quad (76)$$

The quartic Kerr term shifts higher levels by an amount proportional to  $m(m-1)$ , leading to

$$E_m \approx -E_J + \hbar\omega_{01} m - \frac{E_C}{2} m(m-1), \quad (77)$$

and therefore the anharmonicity

$$\boxed{\alpha \equiv \omega_{12} - \omega_{01} \approx -\frac{E_C}{\hbar}.} \quad (78)$$

#### Logic note

The practical meaning of  $\alpha < 0$ : the  $1 \rightarrow 2$  transition is *lower* in frequency than  $0 \rightarrow 1$ , so a resonant  $\pi$ -pulse at  $\omega_{01}$  is less likely to excite  $|2\rangle$  if  $|\alpha|$  is large enough compared to the drive bandwidth.

#### Key points to remember

- Harmonic approximation gives plasma frequency:  $\hbar\omega_p = \sqrt{8E_C E_J}$ .
- First correction shifts the qubit frequency:  $\hbar\omega_{01} \approx \sqrt{8E_C E_J} - E_C$ .
- Anharmonicity:  $\alpha \approx -E_C/\hbar$  is the “spectral selectivity” knob.
- Increasing  $E_J/E_C$  reduces charge sensitivity strongly while keeping  $\alpha$  usable.

## 8 Summary (One-Page Lecture Recall)

#### Final recall

- **QHO:**  $\hat{H} = \hbar\omega(\hat{a}^\dagger \hat{a} + \frac{1}{2})$  with  $[\hat{a}, \hat{a}^\dagger] = 1$ .
- **LC resonator:**  $\hat{H}_{LC} = \frac{\hat{Q}^2}{2C} + \frac{\hat{\Phi}^2}{2L}$ ,  $[\hat{\Phi}, \hat{Q}] = i\hbar$ , and it is a QHO with  $\omega_r = 1/\sqrt{LC}$ .
- **Josephson junction:**  $U_J(\varphi) = -E_J \cos \varphi$ ,  $E_J = \Phi_0 I_c / (2\pi)$ .
- **Transmon:**  $\hat{H}_T = 4E_C(\hat{n} - n_g)^2 - E_J \cos \hat{\varphi}$ ,  $[\hat{\varphi}, \hat{n}] = i$ .
- **In transmon regime:**  $\hbar\omega_{01} \approx \sqrt{8E_C E_J} - E_C$ , and  $\alpha \approx -E_C/\hbar$ .

## 9 Circuit QED: Coupling a Transmon to a Microwave Resonator

### Assumptions

- We consider a single resonator mode (frequency  $\omega_r$ ) coupled to a single transmon mode.
- Coupling is capacitive and sufficiently weak that a linear (dipole) interaction is valid.
- We first keep the transmon as a weakly anharmonic oscillator, then reduce it to a two-level system (TLS) when appropriate.

### 9.1 Physical picture and variables

A superconducting qubit (transmon) can be coupled to a quantized microwave resonator. The resonator is a quantum LC oscillator:

$$\hat{H}_r = \hbar\omega_r \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right), \quad (79)$$

with ladder operators  $\hat{a}, \hat{a}^\dagger$ .

The transmon Hamiltonian (from previous sections) is

$$\hat{H}_T = 4E_C(\hat{n} - n_g)^2 - E_J \cos \hat{\varphi}. \quad (80)$$

In the transmon regime, we can approximate it as a weakly anharmonic oscillator (Kerr oscillator):

$$\hat{H}_T \approx \hbar\omega_q \hat{b}^\dagger \hat{b} - \frac{E_C}{2} \hat{b}^{\dagger 2} \hat{b}^2 \quad (+ \text{constants}), \quad (81)$$

where  $\hat{b}$  is the transmon lowering operator and  $\omega_q \approx (\sqrt{8E_C E_J} - E_C)/\hbar$ .

### Logic note

At this stage, the system is “two oscillators” (resonator + transmon mode), but the transmon has a weak nonlinearity. That nonlinearity is what enables qubit behavior and dispersive readout.

### 9.2 How capacitive coupling generates an interaction term

The most common cQED implementation is **capacitive coupling**. A coupling capacitor  $C_g$  connects the resonator voltage node to the transmon island. The interaction energy of a capacitor is (classically):

$$E_C^{(\text{cap})} = \frac{(Q)^2}{2C}. \quad (82)$$

When two nodes are connected by a coupling capacitor, the node charges become mixed. After writing the full circuit Lagrangian and performing a Legendre transform, the Hamiltonian contains a cross term of the form

$$H_{\text{int}} \propto Q_r Q_q, \quad (83)$$

i.e. resonator charge  $\times$  transmon charge.

Quantize:

$$Q_r \rightarrow \hat{Q}_r, \quad Q_q \rightarrow \hat{Q}_q.$$

Use the resonator operator form

$$\hat{Q}_r = iQ_{r,\text{zpf}}(\hat{a}^\dagger - \hat{a}), \quad (84)$$

and the transmon charge operator

$$\hat{Q}_q = 2e \hat{n}. \quad (85)$$

Thus the generic interaction becomes

$$\hat{H}_{\text{int}} = \hbar g (\hat{a} + \hat{a}^\dagger) \hat{n}, \quad (86)$$

where  $g$  (with units of frequency) is a coupling constant set by circuit parameters (capacitances, impedances, participation ratios).

#### Logic note

The resonator provides a quantum electric field (voltage fluctuations). The transmon couples to that field through its electric dipole moment, which is proportional to  $\hat{n}$ .

### 9.3 Jaynes–Cummings limit (two-level approximation)

To obtain the standard cQED Hamiltonian, we reduce the transmon to its lowest two levels:

$$|g\rangle, |e\rangle.$$

Within this subspace, define Pauli operators:

$$\hat{\sigma}_z = |e\rangle\langle e| - |g\rangle\langle g|, \quad \hat{\sigma}_+ = |e\rangle\langle g|, \quad \hat{\sigma}_- = |g\rangle\langle e|.$$

The charge operator  $\hat{n}$  has matrix elements between  $|g\rangle$  and  $|e\rangle$ :

$$\hat{n} \rightarrow n_{ge} (\hat{\sigma}_- + \hat{\sigma}_+) \quad (\text{two-level truncation}), \quad (87)$$

where  $n_{ge} = \langle g|\hat{n}|e\rangle$  is a device-dependent dipole matrix element.

Insert Eq. (87) into Eq. (86):

$$\hat{H}_{\text{int}} = \hbar g (\hat{a} + \hat{a}^\dagger) n_{ge} (\hat{\sigma}_- + \hat{\sigma}_+). \quad (88)$$

Define the effective coupling

$$g_{\text{eff}} \equiv g n_{ge}. \quad (89)$$

Then

$$\hat{H}_{\text{int}} = \hbar g_{\text{eff}} (\hat{a} + \hat{a}^\dagger) (\hat{\sigma}_- + \hat{\sigma}_+). \quad (90)$$

**Rotating-wave approximation (RWA).** In the interaction picture, terms like  $\hat{a}\hat{\sigma}_-$  and  $\hat{a}^\dagger\hat{\sigma}_+$  oscillate near  $e^{-i(\omega_r+\omega_q)t}$  and average out when  $g_{\text{eff}} \ll \omega_r, \omega_q$ . Keep only energy-conserving terms  $\hat{a}\hat{\sigma}_+$  and  $\hat{a}^\dagger\hat{\sigma}_-$ :

$$\boxed{\hat{H}_{\text{int}}^{(\text{RWA})} = \hbar g_{\text{eff}} (\hat{a}\hat{\sigma}_+ + \hat{a}^\dagger\hat{\sigma}_-).} \quad (91)$$

The transmon (TLS) Hamiltonian is

$$\hat{H}_q = \frac{\hbar\omega_q}{2} \hat{\sigma}_z. \quad (92)$$

Thus the **Jaynes–Cummings Hamiltonian** is

$$\boxed{\hat{H}_{\text{JC}} = \hbar\omega_r \hat{a}^\dagger \hat{a} + \frac{\hbar\omega_q}{2} \hat{\sigma}_z + \hbar g_{\text{eff}} (\hat{a}\hat{\sigma}_+ + \hat{a}^\dagger\hat{\sigma}_-).} \quad (93)$$

## 9.4 Dispersive regime and effective Hamiltonian (why cQED enables readout)

Define detuning:

$$\Delta \equiv \omega_q - \omega_r. \quad (94)$$

In the **dispersive regime**,

$$\boxed{|\Delta| \gg g_{\text{eff}},} \quad (95)$$

real energy exchange is suppressed, but virtual exchange shifts frequencies. To second order in  $g_{\text{eff}}/\Delta$ , the effective Hamiltonian becomes

$$\boxed{\hat{H}_{\text{disp}} \approx \hbar(\omega_r + \chi \hat{\sigma}_z) \hat{a}^\dagger \hat{a} + \frac{\hbar}{2} (\omega_q + \chi) \hat{\sigma}_z,} \quad (96)$$

where  $\chi$  is the **dispersive shift**.

### Logic note

The key measurement idea: the resonator frequency depends on the qubit state. If the qubit is in  $|g\rangle$  the resonator is at  $\omega_r - \chi$ ; if in  $|e\rangle$  it is at  $\omega_r + \chi$ . Measuring the resonator response gives the qubit state.

**Including transmon anharmonicity (important correction).** Because the transmon is not an ideal two-level system,  $\chi$  differs from the simple TLS formula. A widely used approximation for a weakly anharmonic transmon is

$$\boxed{\chi \approx -\frac{g_{\text{eff}}^2}{\Delta} \frac{\alpha}{\Delta + \alpha},} \quad (97)$$

where  $\alpha \approx -E_C/\hbar$  is the transmon anharmonicity (negative).

### Key points to remember

- cQED couples a qubit to a resonator:  $\hat{H} = \hat{H}_r + \hat{H}_q + \hat{H}_{\text{int}}$ .
- Capacitive coupling produces an interaction  $\propto (\hat{a} + \hat{a}^\dagger)\hat{n}$ .
- Two-level + RWA gives Jaynes–Cummings:  $\hbar g(\hat{a}\hat{\sigma}_+ + \hat{a}^\dagger\hat{\sigma}_-)$ .
- In dispersive regime ( $|\Delta| \gg g$ ): resonator frequency shifts by  $\pm\chi$  depending on qubit state  $\Rightarrow$  readout.
- Transmon anharmonicity modifies  $\chi$  and is essential for quantitative cQED modeling.